

Draft of 11 December 2007 --- the required readings still need to be pared down a bit

WWS 582a Topics in Economics: The Chinese Economy

Spring 2008, Thursday 9am-12pm

Instructor: Carsten A. HOLZ

OH Tue 10-11, Princeton Institute for Intl. and Regional Studies (PIIRS), 330 Aaron Burr Hall
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Course description

This course covers a number of aspects of the Chinese economy. Understanding China's economy is of intrinsic interest as one-fifth of the world population (four times the U.S. population) lives in China. It is also of interest in that China's economic growth has effects far beyond China's borders.

Aspects of China's economy covered in this course are:

- Economic geography: understanding the geographic characteristics of China's economy.
- Economic history: given China's technical superiority over the West at times in the past, why did China fall behind and not industrialize earlier?
- Economic transition: China has undergone (and is still undergoing) a process of economic transition from a semi-planned to a market-oriented economy. What can we learn about successful transition patterns (successful in terms of economic growth) and about the implications of different concepts of a "market" with different types of government involvement in the economy?
- Economic development: economic transition is accompanied by a rapid process of economic development—how does it proceed in China, and how does China's experience relate to traditional concepts of development economics?
- Politics and culture: China's political institutions differ from those of the West, and so do many cultural and political values—what is their economic relevance?
- Impact of China's economic growth worldwide: as long as China engages in foreign trade and is open to foreign direct investment, the economic rise of China has implications for economies (and people) around the world.

Requirements and grading

20% two maximum 2-page (max. 1000 words) interpretative précis of a non-required text, chosen by the student, with the précis e-mailed to the whole class 24 hours before the class. Prepare a maximum 5-minute presentation to the class. Starts Week 3. Graded pass/fail. Points subtracted if handed in late.

40% final exam; closed-book, in spring term examination period

40% term paper; paper due on "Dean's Date" (13 May)

Active class participation is expected.

If a large majority of students prefers a different set of requirements, and if somebody brings this up in the first class, and if we agree (in the first class), then we may change the requirements.

Textbooks

This course does not have a textbook.

You are strongly invited to quickly read through one of the following two undergraduate texts on your own:

Chow, Gregory C. *China's Economic Transformation*. Second edition. Malden, MA.: Blackwell Publishers, 2007. [Abbreviated below as GC]

Naughton, Barry. *The Chinese Economy: Transitions and Growth*. Cambridge, MA: The MIT Press, 2007. [Abbreviated below as BN]

The following promises to be a major text, but it won't be published in time for this course: Brandt, Loren, and Thomas G. Rawski (eds.). *China's Great Economic Transformation*. Cambridge University Press, 30 April 2008 [publisher website: July 08] (1008pp.).

If you want to read up on Chinese history, try:

Fairbank, John King, and Merle Goldman. *China: A New History*. Cambridge, Mass.: Harvard University Press, 2006. For this course, Part Three, and in particular Part Four, are of most interest (pp. 255-341, 343-470).

For a focus on economic history see Riskin, Carl. *China's Political Economy: The Quest for Development Since 1949*. Oxford: Oxford University Press, 1991. Covers 1949 through mid-1980s.

Interesting readings

Consider the following books as non-academic bedtime reading. You may learn more about China from one of these books than from a thousand pages of academic papers.

Chang, Jung. *Wild Swans: Three Daughters of China*. New York: Anchor Books, 1992, or any other edition. China through the eyes of three generations of a family; covers just about all the main historical/political/economic events, from a personal, every-day life perspective.

Johnson, Ian. *Wild Grass: China's Revolution from Below*. London: Penguin, 2004, or any other edition. (Also as: *Wild Grass: Three Stories of Change in Modern China*. New York: Pantheon Books, 2004.) Three current-day stories of what it's like to be (mainland) Chinese and live in China, from the point of view of a foreign journalist.

Orwell, George. *Animal Farm*. San Diego: Harcourt Brace Jovanovich, [1990?] 1974. Brutally simple and direct depiction of Communist revolution and dictatorship. Short. Immediately relevant for China.

Rand, Ayn. *Atlas Shrugged*. New York: Dutton, 1992, or any other edition. Fictional account of the U.S. turning Communist; heavy market economics packaged in an exciting story. Do not start reading this book before an exam. (You may not be able to stop reading, and it's long.)

All four books are available in (cheap) paperback editions.

A couple of books with a different tack:

Hinton, William. *Fanshen: A Documentary of Revolution in a Chinese Village*. Berkeley: University of California Press, 1997 (and other years). Available online at: <http://quod.lib.umich.edu/cgi/t/text/text-idx?c=acls;;idno=heb02390>.

Snow, Edgar. *Red Star over China*. New York: Random House, 1938 (and other publishers, other years).

Films

“Morning Sun.” Carma Hinton. (Info: <http://www.morningsun.org/film/index.html>) --- Where reform-period China is coming from.

“China in the Red.” (At: <http://www.pbs.org/wgbh/pages/frontline/shows/red/view/>) --- Reform and its consequences on the ground, early 2000s.

The usual fine print

Exams cover material presented/discussed in class and the required readings.

You can appeal grades in writing within seven days of return of the work for a full re-grading.

There are no extra-credit assignments.

Missing the exam is acceptable only under the usual extenuating circumstances plus documentation (in case of medical reason, a medical certificate that you have been too ill to work on the day of the exam).

Handing the term paper in late: unless the university has its own rules, delay by up to 24 hours means that the maximum number of points that can be achieved in the term paper is reduced to 80% of the on-time maximum; 24-48 hours late: 60%; more than 48 hours but before the course grades are reported to the university: 50%.

Class Schedule and Reading List

* = “required” reading.

** = “required” reading for class discussion.

All other readings are optional. (*) is recommended.

Précis presented by fellow students are required reading, but not the texts that the précis are based on.

>>> are research seminars, outside the class period. Optional.

Week 1 (Thursday, 7 Feb)

1. Why Care About the Chinese Economy?

* Holz, Carsten A. “China’s Economic Growth 1978-2025: What We Know Today about China’s Economic Growth Tomorrow.” 3 Dec. 2007. Forthcoming in *World Development*.

* PEI Minxin. “The Dark Side of China’s Rise.” *Foreign Policy* 53 (March/April 2006): 32-40. At: <http://www.carnegieendowment.org/publications/index.cfm?fa=view&id=18110>

* “The China Price.” *BusinessWeek*, 6 Dec. 2004. At:

http://www.businessweek.com/magazine/content/04_49/b3911401.htm

The Economist article of 29 Nov. 07 on China’s PPP conversion factor. At:

http://www.economist.com/displayStory.cfm?story_id=10209215

Jefferson, Gary H., Albert Guangzhou HU, and SU Jian. “The Sources and Sustainability of China’s Economic Growth. *Brookings Papers on Economic Activity*, no. 2 (2006): 1-47.

Perkins Dwight H., and Thomas G. Rawski. “Forecasting China’s Economic Growth to 2025.” Forthcoming as Chapter 20 in Loren Brandt and Thomas G. Rawski (eds.), *China’s Great Economic Transformation*, Cambridge University Press, 2008. Also at

<http://www.economics.harvard.edu/faculty/perkins/files/Chapter20.pdf>, with separate tables, figures, and appendices at http://www.economics.harvard.edu/faculty/perkins/papers_perkins

BN 6 (meaning: Barry Naughton’s text, see above, Chapter 6); GC 5 (Gregory Chow text, Ch. 5)

2. Economic Geography and Reform Period Overview

2.1 Economic geography

Donald, Stephanie Hemelryk and Robert Benewick. *The State of China Atlas: Mapping the World’s Fastest Growing Economy*. Berkeley, CA: University of California Press, 2005, pp. 24, 26f, 37, 40f., 42f, 50f, 72f, 74f, 77, 81, 89, 90f.

For large-scale maps on China’s economy see: The National Economic Atlas of China. Hong Kong: Oxford University Press, 1994. Social Science Reference Ctr.(SSRC), Firestone, Oversize G2306.G1 N3 1994e. Some pages of interest are pp. 2f., 8 top, 9 top, 11f., 15f., 19f., 21f., 32, 34, 36, 37, 43, 74f., 98, 195, 197, 199.

<http://www.lizardpoint.com/fun/geoquiz/chinaquiz.html>

<http://www.chinapage.com/map/province-english.jpg>

<http://www.chinapage.org/timemap.html> (list of dynasties, maps)

<http://www.lib.utexas.edu/maps/china.html> -> also click on link at bottom, “country maps”

<http://www.johomaps.com/as/china/chinarail.html> (railway lines)

The following is not geography, but if you get bored in class: Chinese propaganda posters:
<http://www.iisg.nl/~landsberger> (scroll to the bottom of the page for many more)
BN Chapter 1

>>> 2/12/08 Tuesday 4:30-6:00pm, Princeton-Harvard China & the World Program
William Callahan
Prof. and Co-Director of British Interuniversity China Centre, University of Manchester
"Worldview, National Image and Soft Power: 'Tianxia' and China's Foreign Policy Discourse in the 21st Century"
Jones Hall, Room 202

Week 2 (R 14 Feb)

2.1 continued

2.2 Reform period overview

** QIAN Yingyi. "The Process of China's Market Transition (1978-1998): The Evolutionary, Historical, and Comparative Perspectives." *Journal of Institutional and Theoretical Economics* 156, no. 1 (March 2000): 151-71. (In particular, sections 1-3, pp. 151-64.) Pre-publication version at <http://elsa.berkeley.edu/~yqian/research.html>

3. The Problem of Causality in the Social Sciences

* King, Gary, Robert O. Keohane, and Sidney Verba. *Designing Social Inquiry: Scientific Inference in Qualitative Research*. Ewing, NJ: Princeton University Press, 1994, Chapter 3, "Causality and Causal Inference," pp. 75-113. If you want, skip the (little) statistics. <http://site.ebrary.com/lib/princeton/Doc?id=10035824&ppg=83> Chs. 1 and 2 recommended.

>>> 2/18/08 Monday 4:30-6:00pm, Princeton-Harvard China & the World Program
Wang Fei-Ling Professor
School of International Affairs, Georgia Tech
"The Rise of China and its Implications"
Robertson Hall, Bowl 16

Week 3 (R 21 Feb)

4. Why Did China Not Industrialize Earlier?

Read one of the following required items (as assigned in class). Be prepared to explain the arguments of the text in class, to defend them, or to criticize them.

- ** Elvin, Mark. *The Patterns of the Chinese Past*. Stanford, CA: Stanford University Press, 1973, Chapter 17, "Quantitative Growth, Qualitative Standstill," pp. 285-316.
- ** Landes, David S. "Why Europe and the West? Why Not China?" *Journal of Economic Perspectives* 20, no. 2 (spring 2006): 3-22.

- ** LIN, Justin Yifu. "The Needham Puzzle: Why the Industrial Revolution Did Not Originate in China." *Economic Development and Cultural Change* 43, no. 2 (Jan. 1995): 270-92.
- Brandt, Loren. "Reflections on China's Late 19th and Early 20th Century Economy." *The China Quarterly* 150, (June 1997): 282-308.
- Dernberger, Robert F. "The Role of the Foreigner in China's Economic Development, 1840-1949" in Dwight H. Perkins (ed.), *China's Modern Economy in Historical Perspective*, Stanford, CA: Stanford University Press, 1975, pp. 19-47, 305-8.
- Lippit, Victor D. "Class Structure and the Development of Underdevelopment in China," Chapter 13 in Joseph C.H. Chai (ed.). *The Economic Development of Modern China*, Volume 1, Prewar Economic Development, Cheltenham, U.K. and Northampton, Mass.: Elgar Reference Collection, 2000, pp. 377-402.
- Myers, Ramon H. "How Did the Modern Chinese Economy Develop?—A Review Article." *The Journal of Asian Studies* 50, no. 3 (Aug. 1991): 604-28.
- Perkins, Dwight H. "Government As an Obstacle to Industrialization: The Case of Nineteenth-Century China." *Journal of Economic History* 27, no. 4 (Dec. 1967): 478-92.
- Pomeranz, Kenneth. *The Great Divergence: Europe, China, and the Making of the Modern World Economy*. Princeton, N.J. : Princeton University Press, 2000. "Part One: A World of Surprising Resemblances," Section 2," pp. 69-107.
- Stubbs, Richard. *Rethinking Asia's Economic Miracle*. New York: Palgrave Macmillan, 2005. Although this book is not on China, the ideas presented here, on political and military origins of the economic growth of East Asia, are perhaps also relevant, in some variation, to China. BN 2; GC 1

5. Economic Transition

5.1 *The theory of centrally planned economies*

- * Kohler, Heinz. *Comparative Economic Systems*. Glenview, Illinois: Scott, Foresman, and Company, 1989. Chapter 6, "Centralized Socialism: A Model" (pp. 86-116, but skip pp. 95-99, 102-4, and 110-12; answers to questions are on pp. 494-501 (questions 1-6, 8 recommended)). Take it easy on this reading. See if you can understand what we do in class.
- * Greenwald, Douglas (ed.). *The McGraw-Hill Encyclopedia of Economics*. Second edition. New York: McGraw-Hill, 1994; pp. 547-51.
- Kohler, Heinz. *Comparative Economic Systems*. Glenview, Illinois: Scott, Foresman, and Company, 1989. Chapter 12, "Market Capitalism: A Model" (pp. 332-61, except pp. 351-54 on Pareto conditions; answers to questions are on pp. 520-27 (questions 1, 3, 4, 7, 10 recommended; questions 2 and 8 very optional).

Week 4 (R 28 Feb)

5.1 *continued*

5.2 *The fatal conceit: the errors of socialism*

- ** Hayek, Friedrich A. *The Fatal Conceit: The Errors of Socialism*. London: Routledge, 1988. The Collected works of F.A. Hayek, vol. 1. Chapter 4, “The Revolt of Instinct and Reason,” pp. 48-65, and Chapter 5, “The Fatal Conceit,” pp. 66-88.
- ** Hayek, Friedrich A. “The Use of Knowledge in Society.” *American Economic Review* 35, no. 4 (Sept. 1945): 519-30. (Also available in *The Essence of Hayek* [below])
- Hayek, Friedrich A. *The Essence of Hayek*. (Compiled by Nishiyama, Chiaki, and Kurt R. Leube.) Hoover Press Publication, 1984. Chapter 11, “The Use of Knowledge in Society,” pp. 211-24, Chapter 12, “Philosophical Consequences,” pp. 225-53, Chapter 13, “Competition as a Discovery Procedure,” pp. 254-65, and Chapter 14, “The Pretence of Knowledge,” pp. 266-77.
- Kornai, János. “What the Change of System from Socialism to Capitalism Does and Does Not Mean.” *Journal of Economic Perspectives* 14, no. 1 (Winter 2000): 27-42.
- Verdery, Katherine. *What Was Socialism, and What Comes Next?* Princeton, NJ: Princeton University Press, 1996. Chapter 1, “What Was Socialism, and Why Did It Fall,” pp. 19-30. Online book at <http://site.ebrary.com/lib/princeton/Doc?id=10031901>.

>>> 3/3/08 Monday 4:30-6:00pm, Princeton-Harvard China & the World Program
 Harry Harding
 Professor and former Dean, Elliott School of Intl. Affairs, George Washington University
 TBA
 Robertson Hall, Bowl 1

Week 5 (R 6 March)

5.3 Central(?) planning in China

- * Lyons, Thomas P. “Planning and Interprovincial Co-ordination in Maoist China.” *The China Quarterly* 121 (March 1990): 36-60.
- * WANG Lixin, and Joseph Fewsmith. “Bulwark of the Planned Economy: The Structure and Role of the State Planning Commission,” Chapter 5 in Carol Lee Hamrin and ZHAO Suisheng (eds.), *Decision-Making in Deng’s China: Perspectives from Insiders*, Armonk, NY: M.E. Sharpe, 1995, pp. 51-65.
- (**) 第十届全国人民代表大会第四次会议关于 2005 年国民经济和社会发展计划执行情况与 2006 年国民经济和社会发展计划草案的报告。2006 年 3 月 5 日。《中华人民共和国国务院公报》 #13/2006, pp. 9-18. Beijing Review supposedly carries a translation that starts at http://www.bjreview.com/17th/17th/txt/2007-03/20/content_78531.htm, but the page is defective; using ... 78531_2.htm yields something, and then it’s possible to click to continue.
- (**) 国务院关于落实《中华人民共和国国民经济和社会发展第十一个五年规划纲要》主要目标和任务分工的通知。2006 年 8 月 14 日。《中华人民共和国国务院公报》 #29/2006, pp. 30-6. [not aware of any translation]. There is a NDRC Ma Kai summary of the 11th Five-Year Plan at http://en.ndrc.gov.cn/newsrelease/t20060323_63813.htm
- (**) 陕西省人民政府关于做好 2005 年煤炭订货工作的通知。《陕西人民政府公报》 #21/2004, p. 34. [need translation]
- Perkins, Dwight H. “Plans and Their Implementation in the People’s Republic of China.” *American Economic Review* 63, no. 2 (May 1973): 224-31.

BN 3; GC 2

5.4 Transition in China

- * QIAN Yingyi. "How Reform Worked in China," Chapter 11 in Dani Rodrik (ed.), *In Search of Prosperity: Analytic Narratives on Economic Growth*. Princeton: Princeton University Press, 2003, pp. 297-333. Pre-publication version at <http://elsa.berkeley.edu/~yqian/research.html>
 - * SASAC --- Barry Naughton [default: http://media.hoover.org/documents/clm14_bn.pdf]
 - ** LIN, Justin Yifu. "An Economic Theory of Institutional Change: Induced and Imposed Change." *The Cato Journal* 9, no. 1 (Spring/summer 1989): 1-33.
 - DING X.L. "Institutional Amphibiousness and the Transition from Communism: The Case of China." *British Journal of Political Science* 24 (July 1994): 293-318.
 - McMillan, John, and Barry Naughton. "How to Reform a Planned Economy: Lessons from China." *Oxford Review of Economic Policy* 8, no. 1 (Spring 1992): 130-43.
 - QIAN Yingyi, and XU Chenggang. "Why China's Economic Reforms Differ: The M-Form Hierarchy and Entry/Expansion of the Non-State Sector." *Economics of Transition* 1, no. 2 (June 1993): 135-170. Pre-publication version at <http://elsa.berkeley.edu/~yqian/research.html>
 - Rawski, Thomas G. "Reforming China's Economy: What Have We Learned?" *The China Journal*, no. 41 (Jan. 1999): 139-56.
 - Roland, Gérard. "The Political Economy of Transition." *Journal of Economic Perspectives* 16, no. 1 (Winter 2002): 29-50.
 - Svejnar, Jan. "Transition Economies: Performance and Challenges." *Journal of Economic Perspectives* 16, no. 1 (Winter 2002): 3-28.
 - Woo, Wing-Thye. "The Art of Reforming Centrally Planned Economies: Comparing China, Poland, and Russia." *Journal of Comparative Economics* 18, no. 3 (June 1994): 276-308.
 - World Development Report 1996: From Plan to Market*. New York: Oxford University Press, 1996; pp. 1-21.
- BN 4; GC 3, 4

>>> 3/10/08 Monday 4:30-6:00pm , Princeton-Harvard China & the World Program

Susan Shirk

Prof. of Political Sci. & Director of the Institute on Global Conflict and Cooperation, UCSD

TBA

Robertson Hall, Bowl 16

Week 6 (R 13 March)

6. Economic Development

6.1 Pre-reform period economic development strategies

- * Eckstein, Alexander. *China's Economic Revolution*. Cambridge, UK: Cambridge University Press, 1977. Chapter 2, "Development Strategies and Policies in Contemporary China," pp. 31-65.

- ** Hirschman, Albert O. *The Strategy of Economic Development*. New Haven : Yale University Press, 1961. Chapter 4 “Unbalanced Growth: An Espousal,” pp. 62-75, and Chapter 5 “Investment Choices and Strategies,” pp. 76-97.
- ** MAO Zedong. “On the Ten Major Relationships.” 25 April 1956. At: http://www.marxists.org/reference/archive/mao/selected-works/volume-5/mswv5_51.htm
- * 李振中。计划经济学(修订本)。北京：中国人民大学出版社，1988，pp. 17-21. [need translation]
- Ishikawa, Shigeru. “China’s Economic Growth since 1949—An Assessment.” *The China Quarterly* 94 (June 1983): 242-81.
- Perkins, Dwight H. “Growth and Changing Structure of China’s Twentieth-Century Economy,” in Dwight H. Perkins (ed.), *China’s Modern Economy in Historical Perspective*, Stanford, CA: Stanford University Press, 1975, pp. 115-65.
- Riskin, Carl. *China’s Political Economy: The Quest for Development Since 1949*. Oxford: Oxford University Press, 1991. The book covers 1949 through mid-1980s and is relevant in full.

6.2 Reform period economic development strategies

- * LIN, Justin Yifu, CAI Fang, and LI Zhou. *The China Miracle: Development Strategy and Economic Reform*. Revised edition. Hong Kong: The Chinese University Press, 2003, Chapter 4, “The Comparative-advantage-following Strategy,” pp. 69-102.
- (*) LIN, Justin Yifu. “Development Strategy, Viability, and Economic Convergence.” *Economic Development and Cultural Change* 51, no. 2 (Jan. 03): 277-308, in particular pp. 277-94.
- (*) Parris, Kristen. “Local Initiative and National Reform: The Wenzhou Model of Development.” *The China Quarterly* 134 (June 1993): 242-63.
- (*) WANG Jian. “The Correct Strategy for Long-Term Economic Development—Concept of the Development Strategy of Joining the ‘Great International Cycle’.” *Chinese Economic Studies* 25, no. 1 (Fall 1991): 7-15.
- (*) WU Jinglian. “Choosing a Strategy for China’s Economic Reform.” *Social Sciences in China: a Quarterly Journal* 9, no. 4 (Dec. 1988): 27-41
- Cheng Chu-yuan. *China’s Economic Development: Growth and Structural Change*. Boulder: Westview Press, 1982.
Chapter 9, “The Strategies of Development” (pp. 257-292).
- Hsu, Robert C. *Economic Theories in China, 1979-1988*. Cambridge, UK: Cambridge University Press, 1991. In particular, Chapter 4, “Strategies of Economic Development,” pp. 106-42.
- XU Changming. “On an Economic Policy Based on ‘Tilted Regions’.” *Chinese Economic Studies* 26, no. 5 (Fall 1993): 79-86.
- Yang Dali. “Patterns of China’s Regional Development Strategy.” *The China Quarterly* 122 (June 1990): 230-257.

Spring recess 20 March

>>> 3/24/08 Monday 4:30-6:00pm, Princeton-Harvard China & the World Program
 QIN Yaqing
 Prof. of Intl. Studies & Exec. Vice Pr., China Foreign Aff. U., Member CCP Party Congr.
 TBA

Week 7 (R 27 March)

6.2.1 Industry

- ** Brandt, Loren, Thomas G. Rawski, and John Sutton. "China's Industrial Development," Chapter 15 in Loren Brandt and Thomas G. Rawski (eds.). *China's Great Economic Transformation*. Cambridge University Press, 30 April 2008.
- * Hu, Albert G. Z., Gary H. Jefferson, and QIAN Jinchang. "R&D and Technology Transfer: Firm-Level Evidence from Chinese Industry." *Review of Economics & Statistics* 87, no. 4 (Nov. 2005): 780-6.
- HU, Albert Guangzhou, and Gary H. Jefferson. "A Great Wall of Patents: What Is Behind China's Recent Patent Explosion? Mimeo, National University of Singapore and Brandeis University, 2006. Online
at:<http://people.brandeis.edu/~jefferso/Great%20Wall,%20submitted%20manuscript,%20Jan.%202006.pdf>

6.2.2 Agriculture

- * Sicilar, Terry. "Ten Years of Reform: Progress and Setbacks in Agricultural Planning and Pricing," Chapter 2 in Y.Y. Kueh and Robert F. Ash, *Economic Trends in Chinese Agriculture: The Impact of Post-Mao Reforms*, A Memorial Volume in Honour of Kenneth Richard Walker 1932-1989, Oxford: Clarendon Press, 1993, pp. 47-96.
- (*) Perkins, Dwight H., and Shahid Yusuf. *Rural Development in China*. Baltimore and London: The Johns Hopkins University Press (published for The World Bank), 1984. Chapter 2-4, "China's Dual Economy" pp. 9-29, "Agricultural Production" pp. 30-44, and "Sources of Agricultural Growth" pp. 45-72.
- (*) Crook, Frederick. "China's Current Household Contract System." *CPE Agriculture Report*, May-June 1989: 26-30, and July-August 1989: 27-33.
- LIN, Justin Yifu. "Rural Reforms and Agricultural Growth in China." *American Economic Review* 82, no. 1 (March 1992): 34-51.
- LIN, Justin Yifu. "Chinese Agriculture: Institutional Changes and Performance," Chapter 2 in T.N. Srinivasan, *Agriculture and Trade in China and India: Policies and Performance since 1950*, San Francisco, CA: ICS Press, 1994, pp. 23-72.
- Putterman, Louis. "The Incentive Problem and the Demise of Team Farming in China." *Journal of Development Economics* 26, no. 1 (June 1987): 103-127.
- Putterman, Louis. "People's Republic of China: Systemic and Structural Change in a North China Township." *American Journal of Agricultural Economics* 70, no. 2 (May 1988): 423-
- Rozelle, Scott. "Decision-Making in China's Rural Economy: The Linkages Between Village Leaders and Farm Households." *The China Quarterly* 137 (March 1994): 98-124.
- Waldron, Scott, Colin Brown and John Longworth. "State Sector Reform and Agriculture in China." *The China Quarterly* 186 (June 2006): 277-94.
- ZHU Ling and JIANG Zhongyi. "From Brigade to Village Community: The Land Tenure System and Rural Development in China." *Cambridge Journal of Economics* 17, no. 4 (Dec. 1993): 441-61.

Week 8 (R 3 April)

7. Economic Decision Making

7.1 Political institutions

- * Wang, James. *Contemporary Chinese Politics: An Introduction*. Seventh edition. Upper Saddle River, NJ: Simon & Schuster, 2000. Chapter 4, "Political Institutions of the Party-State: Structural Issues and the Policy Process," pp. 69-104. (Skip pp. 80f. on Central Advisory Commission, take this reading very easy. Focus on what is covered in class)
 - (*) GONG Ting. "Dependent Judiciary and Unaccountable Judges: Judicial Corruption in Contemporary China." *The China Review* 4, no. 2 (Fall 2004): 33-54.
 - (*) Hamrin, Carol Lee. "The Party Leadership System," Chapter 5 in Kenneth G. Lieberthal and David M. Lampton (eds.), *Bureaucracy, Politics, and Decision Making in Post-Mao China*, Berkely: University of California Press, 1992, pp. 96-121/4.
 - (*) *Amnesty International Report 2004*. Section on China (<http://web.amnesty.org/report2004/chn-summary-eng>).
 - Donald and Benewick (2005), pp. 54-7, 60f, 64f.
 - Lieberthal, Kenneth. *Governing China: From Revolution through Reform*. New York: Norton, 1995 or 2003.
 - PEI Minxin. "China's Governance Crisis." *Foreign Affairs* 81, no. 5 (Sept./Oct. 2002): 96-107.
 - If can get translated: 抚顺选举“地震”。《财经》#77-78/2003, pp. 32-5.
中国司法独立的制度保障。信报 7 Oct. 2000, p. 4.
- GC 20

7.2 Economic development and democratization

- ** Zweig, David. "Undemocratic Capitalism: China and the Limits of Economism." *The National Interest*, no. 56 (Summer 1999): 63-72.
- ** "When Will the Chinese People Be Free?" Three comments by Henry S. Rowen, PEI Minxin, and YANG Dali L. *Journal of Democracy* 18, no. 3 (July 2007): 38-64. At http://www.daliyang.com/files/debate_on_China_democracy.pdf.
- CHEN An. "Why Does Capitalism Fail to Push China Toward Democracy?" Chapter 8 in Christopher A. McNally, *China's Emergent Political Economy: Capitalism in the Dragon's Lair*, New York: Routledge, 2008, pp. 146-65.
- Gallagher, Mary E. "'Reform and Openness': Why China's Economic Reforms Have Delayed Democracy." *World Politics* 54, no. 3 (April 2002): 338-72.
- Gilley, Bruce. *China's Democratic Future: How it Will Happen and Where It Will Lead*. New York: Columbia University Press, 2004.
- Rowen, Henry S. "The Short March: China's Road to Democracy." *The National Interest*, no. 45 (1996): 61-70. <http://www.nationalinterest.org/General.aspx?id=92&id2=10452>
- ... and many more.

Week 9 (R 10 April)

7.3 Political Institutions and Economic Implications

- * Holz, Carsten A. "Contractionary Investment Policies in China 1988/89: Accounting for the Implementation Difficulties and Successes." *The China Quarterly*, no. 160 (Dec. 1999): 881-918.
- (*) Brandt, Loren, and Zhu Xiaodong. "Redistribution in a Decentralized Economy: Growth and Inflation in China under Reform." *Journal of Political Economy* 108, no. 2 (April 2000): 422-39.
- 中国人民银行党委书记、行长周小川。兴起学习贯彻“三个代表”重要思想新高潮全面促进中央银行工作。《中国金融》#15/2003, pp. 4-6. [need translation of core passages]
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>>> 18 & 19 April: Workshop on China's environmental problems (at PU)

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More topics, for which we won't have time

12. Environment

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GC 19

14. Inequality and poverty (there's so much literature that any choice is arbitrary)

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15. Social security

* ZHAO Yaohui, and XU Jianguo. "China's Urban Pension System: Reforms and Problems." *CATO Journal* 21, no. 3 (Winter 2002): 395-414.

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Additional Readings on China's Economy and Data Sources

The library at Hong Kong University of Science & Technology maintains online resource guides to three courses on China's economy:

<http://library.ust.hk/guides/sosc181.html>

<http://library.ust.hk/guides/econ516.html>

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Data on China

2007 中国统计年鉴 (China Statistical Yearbook [bilingual edition]). 北京: 中国统计出版社 (HA4631.S725).

<http://www.stats.gov.cn/> --- National Bureau of Statistics of China

<http://chinadatatcenter.org/newcdc/> --- Michigan data center

News articles, laws and regulations, statistics, and more

China Infobank (online database, in Chinese; enter via PU library databases)

Other, general sources of information on China

Asian Development Bank --- has a chapter on China in its annual *Asian Development Outlook*

(and also has other China-specific reports): <http://www.adb.org/China/default.asp>

OECD Economic Surveys: China. Paris: OECD, 2005. (And much more by the OECD)

The Economist Intelligence Unit

U.S. Commercial Service, China [with, among others, some industry information]

<http://www.buyusa.gov/china/en/>

China Leadership Monitor: <http://www.hoover.org/publications/clm/>

Yang Dali maintains a nice website with lots of links: <http://www.daliyang.com/index.html>

General/ background readings beyond what's listed above as optional textbooks

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A few books of more specialized interest (if not mentioned anywhere above)

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Huang Yasheng. *Selling China: Foreign Direct Investment During the Reform Era*. Cambridge Modern China Series. Cambridge; New York and Melbourne: Cambridge University Press, 2003

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Shirk, Susan L. *China: Fragile Superpower*. Oxford and New York: Oxford University Press, 2007.

Twitchett, Denis, and John K. Fairbank (eds.), *The Cambridge History of China*. Cambridge: Cambridge University Press, several volumes. (History, and more history, and yet more.)

Walter, Carl E., and Fraser J.T. Howe. *Privatizing China: The Stock Markets and Their Role in Corporate Reform*. Hoboken, NJ: John Wiley & Sons, 2003.

Woetzel, Jonathan R. *Capitalist China: Strategies for a Revolutionized Economy*. Singapore: John Wiley & Sons, 2003. (This is a popular more than an academic book, business-oriented, focusing on individual companies and industrial sectors.)

Zweig, David. *Internationalizing China: Domestic Interests and Global Linkages*. Ithaca and London: Cornell University Press, 2002.

Lynn White's China bibliography: list of books on China, by topic:

<http://www.princeton.edu/~lynn/chinabib.pdf>