

Africa in the World: Democracy, Development, and Security

**WWS 572a
Woodrow Wilson Graduate Program**

**Princeton N. Lyman
Adjunct Professor**

Friday 9am – 12pm

Course description:

This course will examine contemporary developments in Africa in the context of major world issues and trends. It will review recent trends in democratization in Africa, security problems – including human security, conflict, and terrorism – and the reasons for and responses to Africa’s marginalization in the globalizing economy. It will address Africa’s relationships with major world players and institutions, such as the G8, the UN, and the US, as well as China, India and Malaysia. It will also examine Africa’s institutional development, e.g., the Africa Union, the New Partnership for African Development, and various sub-regional organizations. Students will be asked to undertake case studies on a country basis and/or by examining cases of conceptual challenges, e.g., conflicts between security and human rights, democratization and security.

Course requirements

Because the subjects and countries to be covered are great, students will be asked to do independent research on specific country situations and topics. Students will be required to write two short papers (up to five pages). There will be either a take home final or a term paper of no more than 15 single spaced pages at the end of the semester. Students will be expected to participate actively in class discussion, presenting research results or commentary on the readings.

Course grades will be based on the following:

Class participation: 35%

Short papers: 30%

Final exam or term paper: 35%

Contact:

Office hours: To be arranged

Please Note:

By the time books are published on current African affairs, they are often out of date. Therefore, students are urged to be careful when doing presentations or papers to check on the currency of their information from some of the readings. The same is true for obtaining good information on economic issues in Africa. The following are valuable internet sites that students should look to regularly to keep up to date:

International Crisis Group. www.crisisweb.org

Refugees International. www.refugeesinternational.org

Center for Global Development. www.cgdev.org

All Africa (African media). www.allafrica.com

Note on Readings:

The list of reading assignments is extensive. Do not be too alarmed. Not all the reading will be required of all students. Nevertheless, the reading requirements in this course are relatively heavy.

I especially recommend students do as much of the reading for the first two weeks as early as possible, if possible in advance of the course starting (the amounts are quite a lot) so that as quickly as possible all students in the class will have a relatively sound grasp of the historical factors that impinge on current issues. Some of these can be gone over relatively quickly to get the basic thrust—rather than trying to know all aspects of the history of the entire continent.

Session 1

A. Contrasting Views of Africa Today

Reading:

Robert Guest, *The Shackled Continent: Power, Corruption and African Lives*
 Charlayne Hunter-Gault, *New News Out of Africa: Uncovering Africa's Renaissance*

B. The African Setting: History, Geography and Environment

Reading:

Jarred Diamond, *Guns, Germs and Steel*, pp. 157-175, 376-401

Toyin Falola, *Africa, Volume I, African History Before 1885*, pp. 23-49 and 111-275 *passim*

Session 2. The Colonial Period

Reading:

Our Common Interest, Report of the Commission for Africa,
www.commissionforafrica.org, pp. 125-132 (sections 3.4 through 3.6)

Thomas Pakenham, *The Scramble for Africa*, pp. 141-256, 470-538

Goran Hyden, *Africa Politics in Comparative Perspective*, pp. 25-49

William G. Moseley, *Taking Sides: Clashing Views on African Issues*, 2nd edition, pp. 54-69 [Students: be prepared to comment on which side you lean toward, understanding that you may change your mind over the course of the semester]

Select one of the following, or browse both:

David Anderson, *Histories of the Hanged*, W.W. Norton, NY, 2005. Prologue and Chapter 1-3, and Chapter 8. (pp. 1-111, 328-344)

Georges Nzongola-Ntalaja, *The Congo: From Leopold to Kabila*, Zed Books, 2002, pp.13-93.

Session 3. Development of Democracy

Reading: General

- a. Goran Hyden, *Africa Politics in Comparative Perspective*, pp. 50-71, 94-115
- b. Steffan I. Lindberg, "The Surprising Significance of African Elections," *Journal of Democracy*, January 2006, Volume 17, no. 1
- c. Shadrack Wanjala Nasongo "Political Transition without Transformation," The Dialectic of Liberalization without democratization in Kenya and Zambia" *African Studies Review*, Volume 50, Number 11, April 2007, pp.83-107 (on electronic reserve)
- d. E. Gyimah-Boadi, *Democratic Reform in Africa*, chapter 1, pp. 5-28, chapter 4, pp. 99-120, chapters 7-8, pp. 159-200.
- e. Tukumbi Lumumba-Kasongo, "The problematics of liberal democracy and democratic process," in Lumumba-Kasongo, ed., *Liberal Democracy and its Critics in Africa*, pp. 1-25.
- f. David Yang, "The Persisting Poverty of Strategic Analysis in U.S. Democratic Assistance," in Nancy Birdsall, et al, *Short of the Goal: U.S. Policy and Poorly Performing States*, pp. 376-407.

Session 4. Development of Democracy (II)

Student Papers Due [select one of the following areas for your paper]

Reading: Francophone Africa

- g. Charles Zorgibe, "The Birth of Political Pluralism in French-Speaking Africa," *African Geopolitics*, No. 10, Spring 2003, Pp. 293-302
www.african-geopolitics.org/home_english.htm Also on electronic reserve.
- h. Sheldon Gellar, *Democracy in Senegal*, chapters 3-4, pp. 27-58, chapter 6, pp. 75-91, chapters 11-12, pp. 140-195.
- i. Freedom House: *Africa at the Crossroads, 2006* (sections as relevant)

Reading: Anglophone Africa:

- j. Robert Rotberg. *Crafting a New Nigeria*, Chapters 1-3, pp. 1-60.
- k. Kenneth Omeje, "Reappraising Contemporary Political Developments in Nigeria," [on Blackboard]
- l. Freedom House, *Africa at the Crossroads 2006*, pp. 1-23 (Kenya), 87-110 (Tanzania), 111-132 (Uganda)

Reading: Southern Africa

- m.* Allister Sparks, *Tomorrow is Another Country*, Pp. 21-47, 120-196, 226-246
- n.* Allister Sparks, *Beyond the Miracle*, Chapter thirteen, Pp. 251-282.
- o.* William Mugege, *Thabo Mbeki and the Rise of the ANC*, Chapters 11-15, pp. 235-322.
- p.* Princeton N. Lyman, "South Africa in Retrospect," [on Blackboard]
- q.* Michelle Gavin, *Planning for Post-Mugabe Zimbabwe*

Session 5. Economic and Social Development (I)**Readings:**

- Joseph Stiglitz, *Globalization and its Discontents*, pp. 23-88
- Goran Hyden, *African Politics in Comparative Perspective*, pp. 72-93, 138-182
- Nicolas van de Walle, *African Economies and the Politics of Permanent Crisis, 1979-1999*, selections
- Jeffrey Sachs, *The End of Poverty*, chapter 3, pp. 26-50, chapters 12 and 13, pp. 226-265, chapter 16, pp. 309-328.
- Nicholas van de Walle, *Beyond Structural Adjustment*, Introduction, pp. 1-35.
- East Africa Community [on Blackboard]

Select one of the following:

- David C. Cole and Richard Huntington, *Between a Swamp and a Hard Place: Developmental Challenges in Remote Rural Africa*, pp. 1-85, 177-259
- Catholic Relief Services, *Bottom of the Barrel: Africa's Oil Boom and the Poor*, (available online at www.catholicrelief.org/africanoil.cfm).
- Raymond Baker, *Capitalism's Achilles Heel*, selection to be provided

Session 6. Health and Development: The HIV/AIDS Pandemic

- Search the UNAIDS website, *passim* for latest data, responses, etc. in HIV/AIDS
- Surf the website of the Kaiser Family Foundation, www.kff.org, for data on HIV/AIDS funding, donor contributions, and related material (e.g. report by Jennifer Kates).
- The Controversy over HIV/AIDS data [on Blackboard]
- Jeffrey Sachs, *The End of Poverty*, chapter 10, pp. 188-209
- Infectious Disease outlook [on Blackboard]
- Ruth Levine, *Case Studies in Global Health: Millions Saved*. *Passim*.
- Laurie Garrett: *HIV and National Security: Where are the Links?*, Council on Foreign Relations Report, 2005. 59 pages. www.cfr.org/publications, or
- HIV/AIDS and National Security [on Blackboard]

- Princeton N. Lyman, *Linking Health to Security*, Address to the Africa Center for Strategic Studies. [on Blackboard]
- J. Stephen Morrison and Jennifer Cooke, *Reauthorizing PEPFAR*, CSIS website.

Read one of the following:

- Amy S. Patterson, *The Politics of AIDS in Africa*, pp. 21-130.
- Alex de Waal, *AIDS and Power*, entire (120 pages)

Session 7. Conflicts and Conflict Resolution (I)

Reading: General

- Robert Rotberg, *State Failure and State Weakness in a Time of Terror*, Pp. 1-25.
- Herbert Howe, *Ambiguous Order: Military Forces in African States*, Chapter 2, pp. 27-72.
- Karen Ballantine & Jake Sherman, *The Political Economy of Armed Conflict*, Chapter 2, pp. 19-46.
- Lael Brainard and Derek Chollet, eds. *Too Poor for Peace?*” chapters 1-3, pp. 1-59, chapters 5 and 6, pp. 73-100
- Narcotics influence – Guinea Bissau [on Blackboard]

Reading Select one of the following:

Sierra Leone and Liberia

- Robert Rotberg, *State Failure and State Weakness in a Time of Terror*, pp. 71-100
- Chandra Lekha Sriran and Zoe Nielsen, *Exploring Subregional Conflict*, pp. 93-130.
- International Crisis Group, *Liberia and Sierra Leone: Rebuilding Failed States*, Africa Report, No. 87, December 2004. www.crisis.web.org
- International Crisis Group, [Liberia: Staying Focused](#), Africa Briefing N°36, 13 January 2006
- Freedom House, *Africa at the Crossroads 2006*, pp. 41-60

Rwanda:

- Stephen Stedman, et al, *Ending Civil Wars: The Implementation of Peace Agreements*, pp. 463-498
- United Nations. *Report of the Independent Inquiry Into the Actions of the United Nations During the 1994 Genocide in Rwanda*, 15 December 1999

- Philip Gourevitch, *We Wish to Inform You that Tomorrow We Will be Killed with our Families*, pp. 85-114.
- Joyce Leader, *Rwanda's Struggle for Democracy and Peace, 1991-1994*, pp. 3-71, 103-121.

Congo

- Georges Nzongola-Ntalaja, *The Congo: From Leopold to Kabila*, pp. 141-264.
- Robert Rotberg, *State Failure and State Weakness in a Time of Terror*, pp. 29-69
- John F. Clark, *The African Stakes of the Congo War*, Parts II and III, pp. 53-184
- Howard French, *A Continent for the Taking*, Chapters 6 and 7, pp. 111-149.
- Severine Autessere, "Local Violence, National Peace? Postwar settlement in the Eastern DR Congo," *African Studies Review*, Volume 49, Number 3, December 2006, pp. 1-30
- Human Rights Watch, *Renewed Crisis in North Kivu*, October 2007, Volume 19, No. 17 (A)

Session 8. Conflict and Conflict Resolution (II)

Select one of the following:

Sudan

- Robert Rotberg, *State Failure and State Weakness in a Time of Terror*, pp. 101 -128.
- International Crisis Group, *God, Oil and Country: Changing the Logic of War in Sudan*, pp. 1-150
- Cheryl O. Igiri, and Princeton N. Lyman, *Giving Meaning to "Never Again: Seeking an Effective Response to the Crisis in Darfur and Beyond*, Council on Foreign Relations Special Report, September 2004, www.cfr.org or
- Lee Feinstein, *Darfur and Beyond*, Council on Foreign Relations, Council Special Report, No. 22, January 2007. www.cfr.org
- Don Cheadle and John Prendergast, *Not on Our Watch: A Mission to End Genocide in Darfur and Beyond*, passim

The Horn of Africa

- Stephen J. Morrison, Testimony on Somalia before the Senate Foreign Relations Committee (on Blackboard)
- Chandra Lekha Sriran and Zoe Nielsen, *Exploring Subregional Conflict*, pp. 17-53
- Donald Rothschild and Edmond Keller, *Africa-US Relations: Strategic Encounters*, pp. 99-121
- Alex de Waal, Islamism and its Enemies in the Horn of Africa," chapters 1 and 2, pp. 1-70, chapters 4 and 5, pp. 140-181

Session 9. Peacekeeping and Related Responses

Reading:

- Center on International Cooperation, *Global Peace Operations 2007*, Lynne Reinner, 2007, sections on African peacekeeping operations (scattered throughout the report]
- Michael E. Brown and Richard N. Rosecrance, *The Costs of Conflict*, pp. 53-74.
- Oxford Analytic Brief, *Africa Union Peace and Security*, [Blackboard]

Additional Reading: [select three of the following five]

- Herbert Howe, *Ambiguous Order: Military Forces in African States*, Chapters 3 and 4, pp. 73-186, Chapter 5, pp. 269-291
- Refugees International, *Report on the United Nations Organization Mission in the Democratic Republic of the Congo: Flawed Mandate Limits Success*, May 2003, 29 pp. www.refugeesinternational.org/content/publications/detail/3049
- Fen Osler Hampson and David M. Malone, *From Reaction to Conflict Prevention: Opportunities for the UN System*, pp. 139-157, pp. 321-355
- Report of the Secretary-General's High Level Panel on Threats, Challenges, and Change, *A More Secure World: Our Shared Responsibility*, IV, pp. 31-39; X-XII, pp. 67-74.
- William J. Durch, Victoria Holt, Caroline R. Earle, Moira K. Shanahan, *The Brahimi Report and the Future of UN Peacekeeping Operations*, Chapter 1, pp. 1-12, Chapters 2 and 3, pp. 13-62.

Session 10. Securing the Peace

Student Papers Due

Reading:

General:

- Stephen Stedman, et al, *Ending Civil Wars: The Implementation of Peace Agreements*, pp.43-70, 141-182, 215-236
- Chester Crocker, et al, *Herding Cats: Multiparty Mediation in a Complex World*, pp. 245-276, 615-642
- Shepard Forman and Stewart Patrick, *Good Intentions: Pledges of Aid for Post-conflict Recovery*, pp. 35-66, 159-204
- Fen Osler Hampson, *Nurturing Peace: Why Peace Settlements Succeed or Fail*, pp. 3-27, 53-127.
- Justice and Peace in Uganda [on Blackboard]

- Dave Peterson, “A Beacon for Central Africa,” *Journal of Democracy*, January 2006, Volume 17, no. 1
- Howard Wolpe and Steve McDonald, “Training Leaders for Peace,” *Journal of Democracy*, January 2006, Volume 17, no. 1

Session 11. African and International Responses

Reading:

- *Our Common Interest*, Report of the Commission on Africa, Chapter 5, pp. 3-80, 157-178
- Ian Taylor, *NEPAD*, chapters 1, 3, 4 and 7.
- New Partnership for African Development, website *passim*
- *Freedom, Prosperity, and Security: The G8 Partnership with Africa Sea Island and Beyond*, *passim*, www.cfr.org
- Center for Global Development, *Assessing the G8 Summit*, www.cgdev.org/G8.cfm
- Africa Union Assessment by Civil Society [on Blackboard]
- Africa Union Analysis [on Blackboard]
- Princeton N. Lyman, “China Ups the Ante,” in *Beyond Humanitarianism: What You Need to Know About Africa and Why It Matters*.
- Africa-China-U.S. Trilateral Dialogue, pp. 1-56.

Session 12. U.S Policy Toward Africa

Reading:

- Princeton N. Lyman, *Partner to History: The U.S. Role in South Africa’s Transition to Democracy*, pp. 3-8, 23-77, 77-213 (*passim*), 263-284
- Herman J. Cohen, *Intervening in Africa*, pp. 1-60, 126-162, 218-228.
- Donald Rothschild and Edmond Keller, *Africa-US Relations*, pp. 23-97.
- Council on Foreign Relations, *More Than Humanitarianism: A Strategic U.S. Approach Toward Africa*, Report of an Independent Task Force, Council on Foreign Relations, January 2006
- “The Pentagon’s New African Command,” www.cfr.org
- Princeton N. Lyman, “The War on Terrorism in Africa,” [on Blackboard]