

**International Disaster Response: Prevention, Relief and Recovery**  
**WWS 594D**  
**(Session II, Spring 2007)**

3/18/07

Mondays, 7-10 pm

Eric Schwartz

[eschwartz@princeton.edu](mailto:eschwartz@princeton.edu)

Office: 223 Corwin Hall

Office hours by appointment

Faculty assistant:      Renee Weist  
   342 Wallace  
   8-4856  
   [west@princeton.edu](mailto:west@princeton.edu)

This course will examine the efforts of the international community, and the United Nations and its voluntary agencies in particular, to respond to humanitarian and recovery and reconstruction challenges posed by man-made and natural disasters. We will consider the role of the UN's Office of the Coordinator for Humanitarian Affairs in managing disaster response and the record of humanitarian agencies in providing relief; peacekeeping and post-conflict peacebuilding, including efforts to address security requirements in environments where a ceasefire or the signing of a peace agreement has not put an end to conflict; the role of the UN Development Programme and other agencies in managing the transition from relief to development; and the effectiveness of agency efforts to incorporate early warning and other natural disaster prevention measures into recovery and development planning and practices.

The goal will be to enable students to gain a good understanding of key institutions, as well as policy and program issues.

Students will be expected to participate actively in seminars (25% of the final grade), to make a preliminary presentation of research (25% of final grade), and write a final paper (based on that research) of about 4000 words (50% percent of final grade). Your presentation to the seminar and your research paper should involve a case study (broadly defined) in which you describe and assess the response of international institutions to a humanitarian, peacekeeping, disaster prevention and/or recovery challenge.

Each week, the first part of the seminar will begin with general discussion of the issues. That discussion will be followed by class presentations by students who are preparing case studies particularly relevant to the week's readings. I will certainly take account of the fact that presenters early in the semester will not have had the time to complete the bulk of their research.

**Session I      What is the humanitarian imperative?  
Norms, values, accountability and foreign policy issues.**

ICRC Website, “The Geneva Conventions: the Core of International Humanitarian Law,” at

<http://www.icrc.org/web/eng/siteeng0.nsf/html/genevaconventions>

You can explore that site, but, in particular, navigate to “Humanitarian Law: Your Questions Answered,” and read that document, which is at

[http://www.icrc.org/Web/Eng/siteeng0.nsf/htmlall/p0703/\\$File/ICRC\\_002\\_0703.PDF!Open](http://www.icrc.org/Web/Eng/siteeng0.nsf/htmlall/p0703/$File/ICRC_002_0703.PDF!Open)

Convention relating to the Status of Refugees, at

<http://www1.umn.edu/humanrts/instreet/v1crs.htm>

Protocol to the Convention relating to the Status of Refugees, at

<http://www.ohchr.org/english/law/protocolrefugees.htm>

Guiding Principles on Internal Displacement, at

[http://www.reliefweb.int/ocha\\_ol/pub/idp\\_gp/idp.html](http://www.reliefweb.int/ocha_ol/pub/idp_gp/idp.html)

OCHA background information on internal displacement, at

[Reliefweb.int/idp/about/back.htm](http://Reliefweb.int/idp/about/back.htm)

Read the following statistics sections from the World Refugee Survey: Tables 1, 3, 8-9, 11-12, 14-15, 16, at

<http://www.refugees.org/article.aspx?id=1614>

Universal Declaration of Human Rights, at

<http://www.un.org/Overview/rights.html>

Sphere Project, home page and Sphere Handbook, pp. 5-50 (What is Sphere, The Humanitarian Charter, and Minimum Standards Common to All Sectors); 313-325 (The Code of Conduct for the International Red Cross Movement and Non-Governmental Organizations in Disaster Relief), at

[http://www.sphereproject.org/component/option,com\\_frontpage/Itemid,200/lang,English/](http://www.sphereproject.org/component/option,com_frontpage/Itemid,200/lang,English/)

Good Humanitarian Donorship Initiative, home page, and link to 23 principles. At

<http://www.goodhumanitariandonorship.org/default.asp>

Humanitarian Accountability Partnership. Read the home page, and the links to “history” and “mandate.” At

<http://www.hapinternational.org/en/pages.php?IDcat=10>

David Reiff, *A Bed for the Night: Humanitarianism in Crisis*, Simon and Schuster, 2003, pp. 57-230; 303-336.

Kuperman, Review of *A Bed for the Night*, March 22, 2003, *National Interest*, at

[http://www.thefreelibrary.com/\\_/print/PrintArticle.aspx?id=99377583](http://www.thefreelibrary.com/_/print/PrintArticle.aspx?id=99377583)

Luttwak, "Give War a Chance," *Foreign Affairs*, July-August 1999. (On e-reserve.)

Malaby, "the Reluctant Imperialist: Terrorism, Failed States and the Case for American Empire," *Foreign Affairs*, Winter-Spring 2002. On e-reserves.

## **Session II Humanitarian Response: Institutions and Issues**

Brief history of OCHA, at

[http://ochaonline.un.org/webpage.asp?Nav=about\\_en&Site=about](http://ochaonline.un.org/webpage.asp?Nav=about_en&Site=about)

Adinolfi, Bassiouni, Lausitzsen, Williams, Humanitarian Response Review (Commissioned by OCHA, 2005), at

<http://www.humanitarianinfo.org/iasc/content/documents/other/Humanitarian%20Response%20Review%202005.pdf>

OCHA Update on Humanitarian Reform, at

[http://www.unicef.org/emerg/files/OCHA\\_update\\_hum\\_response\\_review.pdf](http://www.unicef.org/emerg/files/OCHA_update_hum_response_review.pdf)

Information on the IASC, at

<http://www.humanitarianinfo.org/iasc/default.asp> is marked with an asterisk, directly below. The site has several links, including "About IASC", "IASC Principals", "IASC Cluster", and "IASC Products". Read each of those four pages. In addition, I am assigning, below, other documents that are linked to those pages.

Through the "About IASC" link, read the following Key Documents: General Assembly 46/182, GA resolution 48/57, GA resolution, 47/168, IASC Terms of Reference;

Through the "IASC Principals" link, read Guidance Note on Using the Cluster Approach to Strengthen Humanitarian Response.

Through the "Cluster" link, read "Appeal for Improving Humanitarian Response Capacity: Cluster 2006, Executive Summary and Introduction.

Through the "IASC Products" link, read "Saving Lives together: A Framework for Improving Security Arrangements among IGOs, NGOs and the UN in the Field"; and "Human Rights Guidance Note for Humanitarian Coordinators."

Consolidated Appeal for 2007, at

[http://ochaonline.un.org/humanitarianappeal/webpage.asp?Page=1501#\\_ftn1](http://ochaonline.un.org/humanitarianappeal/webpage.asp?Page=1501#_ftn1)

Power point presentation, Overview of CERF, at

<http://ochaonline2.un.org/LinkClick.aspx?link=ocha&docid=34377>

WFP Annual Report for 2005, at

[http://www.wfp.org/policies/Annual\\_Reports/index.asp?section=6&sub\\_section=3](http://www.wfp.org/policies/Annual_Reports/index.asp?section=6&sub_section=3)

WFP Report to Executive Board, for 2006, at

<http://www.wfp.org/eb/docs/2007/wfp113588~1.pdf>

UNHCR, home page, at [www.UNHCR.org](http://www.UNHCR.org), and *Helping Refugees, an Introduction to UNHCR*, at

<http://www.unhcr.org/basics/BASICS/420795964.pdf>

From UNHCR Global Report, overview and annexes, at

<http://www.unhcr.org/static/publ/gr2005/gr2005toc.htm>

Schwartz, "Practicing at Home What We Preach Abroad, Lessons on Refugee Protection from the Clinton Administration," *Georgetown Journal of International Affairs*, Spring-Summer 2002.

### **Session III Peacekeeping and Peace Support Operations**

UN Charter, at <http://www.un.org/aboutun/charter/index.html> (on e-reserve). Focus, in particular, on introductory paragraphs; Chapter I, Article 2; Chapter IV, Articles 12, 17, 19; Chapter V, Articles 23, 24, 27; Chapter VI; Chapter VII; Chapter VIII; Chapter XV; and Chapter XVI, Article 103.

Daalder, "Knowing When to Say No: The Development of U.S. Policy for Peacekeeping," in *UN Peacekeeping, American Policy and the Uncivil Wars of the 1990s*, pp. 35-68. (On e-reserve.)

Durch, "Keeping the Peace: Politics and Lessons of the 1990s," in *UN Peacekeeping*. (On e-reserve.)

Stimson Center website, read the links describing U.S. personnel contributions to peacekeeping operations, at

<http://www.stimson.org/fopo/?SN=FP20050614848>

Durch et al., *The Brahimi Report and the Future of Peace Operations*, read Introduction (first) and Executive Summary, at

<http://www.stimson.org/pub.cfm?id=90>

Peacekeeping home page, from "Overview," read "Peacekeeping Reaches Record High" and "DPKO Fact Sheet"; from "DPKO", read "Mission Statement" and "Organizational Chart"; from "Facts and Figures", read "Troop Contributors" and "Financing." At

<http://www.un.org/Depts/dpko/dpko/>

Durch, ed., *Twenty First Century Peace Operations*, USIP Press, 2007 (forthcoming, chapters to be assigned).

Ball, "The Challenge of Rebuilding War-Torn Societies, 719-736, in Crocker, Hampson and Aall, *Turbulent Peace, the Challenges of Managing International Conflict*, U.S. Institute of Peace, 2001.

*In Larger Freedom*, Secretary General's statement, and Report paragraphs 111-119. May be found through the following link:

<http://www.un.org/largerfreedom/contents.htm>

Documents relating to the Peacebuilding Commission, including Chairman's Summary for Burundi (12 December), Chairman's Summary for Sierra Leone (13 December), all the General Assembly and Security Council resolutions, Arrangements for Establishing the Peacebuilding Support Fund – Report of the Secretary General, 2005 World Summit Outcome: Peacebuilding Support Office – Report of the Secretary General; statement by Caroloyn McAskie, 31 January, all at

<http://www.un.org/peace/peacebuilding/docs.htm>

Power, "Bystanders to Genocide: Why the United States Let the Rwandan Tragedy Happen," *Atlantic Monthly*, September 2001, on e-reserve.

Feinstein, *Darfur and Beyond, What is Needed to Prevent Mass Atrocities*, Council on Foreign Relations, 2007 at

<http://www.cfr.org/content/publications/attachments/DarfurCSR22.pdf>

Schwartz, "U.S. Policy Toward Peace Operations", in *Peace Operations After 11 September 2001*. Note that this article was completed in mid-2002. (On e-reserve.)

#### **Session IV: Recovery (post-conflict and otherwise): Institutions and Issues**

Report of the Secretary General's High Level Panel, *Delivering as One* (November 2006), at

<http://www.un.org/events/panel/resources/pdfs/HLP-SWC-FinalReport.pdf>

UNDG Website, read "About UNDG" and "Members." Find both through

<http://www.undg.org/content.cfm?id=416>

"Fast Facts", UNDP Bureau for Crisis Prevention and Recovery, at

[http://www.undp.org/bcpr/we\\_are/fast\\_facts\\_1.shtml](http://www.undp.org/bcpr/we_are/fast_facts_1.shtml)

IASC Cluster Group on Early Recovery, *Implementing Early Recovery* (July 2006), at

<http://www.undp.org/bcpr/documents/recovery/Implementing%20Early%20Recovery.pdf>

IDA, "progress on Strengthening Collaboration with UN Partners in Post Conflict Countries" (October 2006), at

<http://siteresources.worldbank.org/IDA/Resources/Seminar%20PDFs/73449-1164920192653/IDANETPC.pdf>

Integrated Missions Report (May 2005), find link at

<http://www.undg.org/content.cfm?id=1249>

UNDP, Bureau for Crisis Prevention and Recovery, *2005 Report: Thematic Trust Fund for Crisis Prevention and Recovery*, at

[http://www.undp.org/bcpr/whats\\_new/ttf\\_report\\_2005.pdf](http://www.undp.org/bcpr/whats_new/ttf_report_2005.pdf)

SG's Note of Guidance on Integrated Missions (February 2006), find link at <http://www.undg.org/content.cfm?id=1249>

Transition Workshop Report (United Nations, February-March 2006, Morocco), at <http://www.undg.org/content.cfm?id=1603>

Conflict Prevention and Reconstruction Unit, World Bank, "The Role of the World Bank in Conflict and Development, at <http://siteresources.worldbank.org/INTCPR/214578-1112884026494/20482669/ConflictAgenda2004.pdf>

Conflict Prevention and Reconstruction Unit, World Bank, *Social Development Papers, Conflict Prevention and Reconstruction*. Read summaries of Working Papers 2, 3, 6, 7, 9, 12, 15, 16, 27, 36, 37 and 39, and the summaries of all the Dissemination Notes, at <http://siteresources.worldbank.org/INTCPR/Resources/CatalogDec6.06.pdf>

USAID Office of Transition Initiatives website, read "About OTI" links, at [http://www.usaid.gov/our\\_work/cross-cutting\\_programs/transition\\_initiatives/aboutoti.html](http://www.usaid.gov/our_work/cross-cutting_programs/transition_initiatives/aboutoti.html)

## **Session V: Natural Disaster Prevention: Case Study: the Tsunami**

Note: we are considering the tsunami case study due to the instructor's experience in tsunami recovery. We are considering it prior to a session on institutional issues because a good deal of recent institutional learning and reform has been informed by the experience of tsunami recovery.

Documents marked with an asterisk appear at the reports link on the [www.tsunamispecialenvoy.org](http://www.tsunamispecialenvoy.org) website.

\*Flash Appeal, Indian Ocean Earthquake – Tsunami (unzip the entire appeal and read it).

\*"Lessons from the Tsunami – Top Line Findings," Fritz Institute, September 2005.

\*Report of the Secretary General. Strengthening Emergency Relief, Rehabilitation, Reconstruction, Recovery and Prevention in the Aftermath of the Indian Ocean Tsunami," June 2006.

\*Tsunami Evaluation Coalition, Synthesis Report, July 2006 (178 pages)

\*"NGO Impact Initiative – Final Report" (2006)

\*"OSE Two Year Report on Tsunami Recovery"

\*"Lessons Learned from Tsunami Recovery, Key Propositions for Building Back Better," Bill Clinton, December 2006.

NPR interview with Sidney Jones, December 27, 2005, at  
<http://www.npr.org/templates/story/story.php?storyId=5070437>

International Crisis Group, “Aceh: A New Chance for Peace,” Asia Briefing Number 40, 15 August 2006, at  
<http://www.crisisgroup.org/home/index.cfm?id=3615&l=1>  
 (you may have to register to obtain the document)

\*“Approaches to Equity in Post-Tsunami Assistance, Sri Lanka, a Case Study,” December 2006;

Text of the “PTOMS” agreement, at  
<http://www.lankanewspapers.com/news/2005/6/2392.html>

Human Rights Watch, navigate to “2005” and “2006” overviews of human rights situation in Sri Lanka, from  
<http://hrw.org/doc/?t=asia&c=slanka>

## **Session VI: Natural Disaster Prevention: Issues and Institutions**

World Conference on Disaster Reduction, *Hyogo Framework for Action, 2005-2015*, at  
<http://www.unisdr.org/eng/hfa/docs/Hyogo-framework-for-action-english.pdf>

ISDR, *Lessons for a Safer Future, Drawing on the Experience of the Indian Ocean Tsunami Disaster*, at  
<http://www.unisdr.org/ppew/tsunami/pdf/Lesson-for-a-safer-future.pdf>

At ISDR website, read “About ISDR” and “Interagency Task Force on Disaster Reduction” and “Disaster Statistics”, at  
<http://www.unisdr.org/>

World Bank Hazard Risk Management website, at  
<http://web.worldbank.org/WBSITE/EXTERNAL/TOPICS/EXTURBANDEVELOPMENT/EXTDISMGMT/0,,menuPK:341021~pagePK:149018~piPK:149093~theSitePK:341015,00.html>,  
 read the first page, and the “overview.”

ProVention website, “About us”, at  
<http://www.proventionconsortium.org/?pageid=1>

ProVention, *Tools for Mainstreaming Disaster Risk Reduction: Guidance Notes for Development Organizations*, Introduction only, at  
<http://www.proventionconsortium.org/?pageid=37&publicationid=132#132>

UNDP Bureau of Crisis Prevention and Recovery, Disaster Reduction Unit, *Reducing Disaster Risk, a Challenge to Development*, 2004, Executive Summary, at  
<http://www.undp.org/bcpr/disred/english/publications/rdr.htm>

ProVention, *Disaster Insurance for the Poor? A review of micro-insurance for natural disaster risks in developing countries*, at [http://www.proventionconsortium.org/themes/default/pdfs/Microinsurance\\_study\\_July06.pdf](http://www.proventionconsortium.org/themes/default/pdfs/Microinsurance_study_July06.pdf)

ProVention Forum (2006), *Incentives for Reducing Risk*, at [http://www.proventionconsortium.org/themes/default/pdfs/Forum06\\_Report.pdf](http://www.proventionconsortium.org/themes/default/pdfs/Forum06_Report.pdf)

*Global Survey of Early Warning Systems* (Prepared at the request of the UN Secretary General), 2006, at <http://www.unisdr.org/ppew/info-resources/ewc3/Global-Survey-of-Early-Warning-Systems.pdf>

United Nations (ISDR) and World Bank, *Global Facility for Disaster Reduction*, at <http://www.unisdr.org/eng/partner-netw/wb-isdr/wb-isdr-GFDRR.pdf>

Lacey, “In a first, UN agency buys insurance against drought,” *International Herald Tribune*, March 7, 2006, at <http://www.ihl.com/articles/2006/03/06/news/aid.php>

Wilcox and Syroka, “Rethinking International Disaster and Finance”, *Journal of International Affairs*, Spring/Summer 2006, on e-reserve.

IUCN release December 2005 , “Mangroves Saved Lives in the 2004 Tsunami Disaster,” at [http://www.iucn.org/en/news/archive/2005/12/mangrove\\_iucn\\_tsunami\\_pr.pdf](http://www.iucn.org/en/news/archive/2005/12/mangrove_iucn_tsunami_pr.pdf)

Baird, “False Hopes and Natural Disasters,” *New York Times* (op ed), December 26, 2006, at <http://www.nytimes.com/2006/12/26/opinion/26baird.html?ex=1324789200&en=6e03ad92a3aaf053&ei=5088&partner=rssnyt&emc=rss>

Letter of response to Baird from Eric Schwartz, *New York Times*, at <http://query.nytimes.com/gst/fullpage.html?res=9D04E3DD1630F932A05751C1A9609C8B63>

Eilperin, “Reefs May Weaken Tsunamis”, Science Notebook, *Washington Post*, January 1, 2007, A6, at [http://www.washingtonpost.com/wp-dyn/content/article/2006/12/31/AR2006123100812\\_pf.html](http://www.washingtonpost.com/wp-dyn/content/article/2006/12/31/AR2006123100812_pf.html)

Asian Disaster Preparedness Center, *Environmental Degradation and Disaster Risk* (2004), read the body of the report (without annexes), at <http://www.gdrc.org/uem/disasters/disenvi/Environmental-Degradation-and-Disaster-Risk.pdf>

Beck, *Learning Lessons from Disaster Recovery, the Case of Bangladesh* (World Bank, Disaster Risk Management Working Paper Series, No.11), 2005, at [http://www.proventionconsortium.org/themes/default/pdfs/recovery\\_Bangladesh.pdf](http://www.proventionconsortium.org/themes/default/pdfs/recovery_Bangladesh.pdf)

Walker, Testimony before the Senate Homeland Security and Governmental Affairs Committee, “Hurricane Katrina: GOA’s Preliminary Observations Regarding Preparedness, Response and Recovery, March 2006, at <http://www.gao.gov/new.items/d06442t.pdf>