

Princeton University
Woodrow Wilson School of Public and International Affairs
WWS533 Planning Theory and Process
Dr. Shlomo Angel
Fall 2004

WWS533 Planning Theory and Process Course Syllabus

Draft, 8 July 2004

Instructor:

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Background:

This course is based on the premise that good planning practice should be grounded in good planning theory. There is still no “body of theory” that can guide and inform our planning efforts, but there are already numerous planning *theories* that merit our attention. Many of these theories were insightful attempts at understanding the unique historical conditions that have led to the rapid transformation of human society into an urban society in recent centuries. And many were accompanied by suggestions—some more useful than others—on how to exploit urbanization, guide it, tame it, moderate its impacts, and even reverse it. Public actions based on the more forceful theories sometimes changed the historical conditions of cities in desirable ways. At other times they made conditions worse, and many times they made no difference at all. Examining planning theories in an historical perspective is, therefore, a useful exercise for those of us searching for a solid theoretical foundation for our planning practice today.

Objective:

The central objective of this course is to function as a forum for helping each other to build and consolidate the intellectual posture we each need to engage with urban planning—in its multiplicity of manifestations—in a useful, sensible, and constructive way. It aims to accomplish this task by seeking to acquaint ourselves—and in the

process to form robust and defensible positions on—some of the key issues that have confronted those who wanted to plan—especially those who wanted to intervene in the shaping and reshaping of cities. The students will know if this objective was indeed attained when some day, hopefully in the not-too-distant future, they find themselves standing on some rug, defending their stance on a weighty planning intervention, without fear that the rug will be suddenly pulled from under them.

Course Description:

The course is designed as a lecture-seminar course. Participants in the course will meet once a week for two consecutive periods on Wednesday afternoons, 1:00–4:00 p.m. at (room to be announced). Altogether, there will be 12 meetings, starting on the 16th of September and ending on the 8th of December 2004. Generally, each meeting will focus on one theme (see Course Outline below). The instructor, as well as selected students, will introduce the theme and topics related to the theme, and it will then be open for general discussion and debate.

Course Requirements:

Each week, students will be required to read *two* of the four articles in the Course Reader pertaining to the theme of the week. In addition, they will be required to write a one-paragraph *synopsis* (usually not to exceed 150 words) on each one of the articles. The synopsis should be a quick personal reflection on a key aspect of the chosen article (not a summary), seeking to draw some useful lesson or insight from it, one we can all share. Students may then be asked to read their synopses aloud in class, as well as to answer questions about them, so as to acquaint fellow students with the articles they may not have read. The weekly synopsis assignments will be handed in at the end of each class period, and handed in or sent by e-mail at the end of the term (not later than 8 December 2004).

Students will also be required to write one *term paper* (not to exceed 8,000 words) and to hand a hard copy to the instructor in class not later than 8 December 2004. The paper can be on any topic related to the themes discussed in the course. The instructor will provide a list of possible topics at a later date, and will discuss topics with individual students, either in his office by appointment or via e-mail. The final version of the paper should be e-mailed to all course participants.

There will be no mid-term or final exams in this course. Students will be graded on the basis of their synopses (30%), their class participation (20%), and their term papers (50%).

Office Hours:

The instructor will meet students, by appointment only, at his office in Robertson Hall (room to be announced). Please call 212-377-3707 or e-mail to solly.ny@verizon.net to arrange for an appointment. Appointments will generally be held on Wednesday afternoons, 4:00–7:00 p.m.

Readings:

The Course Reader, containing copies of all the readings for the course, can be purchased at Pequod Printing at the Princeton University Store, 35 University Place.

Course Schedule and Readings

Period 1 – 16 September:

Why Plan? – Historical Rationales for Modern Urban Planning

Session 1: Introduction to the course - course outline, course requirements, and personal introductions.

Session 2: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Readings:

Benevolo, Leonardo, 1967. "Chapter 2: The Beginnings of Town-Planning Legislation in England and France," in Benevolo, Leonardo, *The Origins of Modern Town Planning*, translated from Italian by Judith Landry, Cambridge MA: MIT Press, 85-104.

Hall, Thomas, 1997, "Chapter 2: From Hippodamus to Haussmann – Town Planning in a Historical Perspective," in *Planning Europe's Capital Cities: Aspects of Nineteenth-Century Urban Development*, London: E & FN Spon, 8-54.

Sutcliffe, Anthony, 1981, "Chapter 2: Germany – From Town Extensions to Comprehensive Urban Planning," in Sutcliffe, Anthony, *Towards the Planned City: Germany, Britain, the United States, and France 1780-1914*. New York: St. Martin's, 9-46.

Abercrombie, Patrick, 1933, "Part I: The Background," in *Town and Country Planning*, Second Edition, London and New York: Oxford University Press, 9-102.

Period 2 – 22 September:

The Charm of Urban Utopias and the Dangers Inherent in Grand Visions

Session 1: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Session 2: Student presentation of their ideas for new urban utopias – each student to prepare a 1-2 page bullet-type outline of his/her idea for a new urban utopia.

Readings:

Benevolo, Leonardo, 1967. "Chapter 1: Nineteenth Century Utopias," in Benevolo, Leonardo, *The Origins of Modern Town Planning*, translated from Italian by Judith Landry, Cambridge MA: MIT Press, 39-84.

Howard, Ebenezer, 1965 (1898), "Chapter 1: The Town–Country Magnet," "Chapter 8: Pro–Municipal Work," and "Chapter 9: Some Difficulties Considered," *Garden Cities of Tomorrow*, Cambridge MA: MIT Press, 50–57, 104–117.

Le Corbusier, 1929. "A Contemporary City," reprinted in LeGates, Richard T. and Frederic Stout, eds., *The City Reader*, Second Edition (1996), London and New York: Routledge, 336–343.

Friedman, John, 2002, "Chapter 6: The Good City – In Defense of Utopian Thinking," in *The Prospect of Cities*, Minneapolis: University of Minnesota Press, 103–118.

Period 3 – 29 September:

Plans, Planning, and Planning Theory

Session 1: Introduction to Planning and Planning Theory – Lecture by instructor and discussion.

Session 2: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Readings:

Klosterman, Richard E., 1996. "Arguments for and Against Planning," in Fainstein, Susan and Scott Campbell, eds., *Readings in Planning Theory*, Cambridge and Oxford: Blackwell Publishers, 150–168.

Friedman, John, 1996, "Two Centuries of Planning Theory: An Overview," in Mandelbaum, Seymour J., Luigi Mazza, and Robert W. Burchell, eds., *Explorations in Planning Theory*, Center for Urban Policy Research, Rutgers: State University of New Jersey, 10–29.

Faludi, Andreas, 1996, "Rationality, Critical Rationalism, and Planning Doctrine," in Mandelbaum, Seymour J., Luigi Mazza, and Robert W. Burchell, eds., *Explorations in Planning Theory*, Center for Urban Policy Research, Rutgers: State University of New Jersey, 65–82.

Bertaud, Alain, and Bertrand Renaud, 1997, "Socialist Cities without Land Markets," *Journal of Urban Economics* 41: 137–151.

Period 4 – 6 October:

Comprehensive Planning: Small Acts and the Command of the Big Picture

Session 1: Small Acts and the Command of the Big Picture – Lecture by instructor and discussion.

Session 2: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Readings:

Webber, Melvin M., 1963. "Comprehensive Planning and Social Responsibility," in Frieden, Bernard J. and Robert Morris, eds., *Urban Planning and Social Policy*, New York and London: Basic Books, 9-22.

Altshuler, Alan, 1965. "The Goals of Comprehensive Planning," in Faludi, Andreas, *A Reader in Planning Theory*, 1973, Oxford and New York: Pergamon Press, 193-209.

Hajer, Maarten, 2001, "Chapter 8: The Need to Zoom Out—Understanding Planning Processes in Post-Corporatist Society," in Madanipour, Ali, Angela Hull and Patsy Healey, *The Governance of Place: Space and Planning Processes*, Aldershot: Ashgate, 178-202.

Lindblom, Charles E., 1959. "The Science of 'Muddling Through,'" in Faludi, Andreas, ed., *A Reader in Planning Theory*, 1973, Oxford and New York: Pergamon Press, 151-169.

Period 5 – 13 October

The Stakeholder Perspective: Responsive Planning in the Age of Pluralism

Session 1: Multiple Perspectives as a Method of Getting at the Truth - Lecture by instructor and discussion.

Session 2: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Readings:

Davidoff, Paul, 1965. "Advocacy and Pluralism in Planning", in Faludi, Andreas, *A Reader in Planning Theory*, 1973, Oxford and New York: Pergamon Press, 277-296.

Baum, Howell S., 1998. "Ethical Behavior in Extraordinary Behavior; It's the Same as All other Behavior," in *Journal of the American Planning Association*, Autumn, 411-423..

Angel, Shlomo, 1983. "Upgrading Slum Infrastructure: Divergent Objectives in Search of a Consensus," *Third World Planning Review*, Vol. 5, No. 1, February, 5-22.

Innes, Judith Eleanor, 1996, "Group Processes and the Social Construction of Growth Management: Florida, Vermont, and New Jersey," in Mandelbaum, Seymour J., Luigi Mazza, and Robert W. Burchell, eds., *Explorations in Planning Theory*, Center for Urban Policy Research, Rutgers: State University of New Jersey, 164-187.

Period 6 – 20 October

The Stubborn Persistence of the Unplanned

Session 1: The Growth of Unplanned Cities in Developing Countries - Lecture by instructor and discussion.

Session 2: "Bombay-Our City", video presentation and discussion.

Readings:

- Turner, John C., 1967, "Barriers and Channels for Housing Development in Modernizing Countries," *Journal of the American Institute of Planners*, 33(3) May, 167-181.
- Hardoy, Jorge E., and David Satterthwaite, 1989. "Chapter 1: The Legal and the Illegal City," in Hardoy, Jorge E., and David Satterthwaite, *Squatter Citizen: Life in the Urban Third World*, London: Earthscan Publications, 12-36.
- Rakodi, Carol, 1993, "Chapter 8: Planning for Whom?" in Devas, Nick and Carol Rakodi, Eds., *Managing Fast Growing Cities: New Approaches to Urban Planning and Management in the Developing World*, Burnt Mill, Harlow: Longman Scientific and Technical, 207-235.
- Guttenberg, Albert, 1988. "Abusivismo and the Borgate of Rome," in Carl V. Patton, ed., *Spontaneous Shelter: International Perspectives and Prospects*, Philadelphia: Temple University Press, 258-276.

Period 7 – 3 November:

No More Room: The Craving for Limits on Urban Growth

Session 1: Student Debate on the Motion "Growth Boundaries are Valuable Planning Tools for Urban America".

Session 2: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Readings:

- Weitz, Jerry and Terry Moore, 1998. "Development Inside Urban Growth Boundaries: Oregon's Empirical Evidence of Contiguous Urban Form," in *Journal of the American Planning Association*, Autumn, 424-440.
- Husung, Sabine, and Peter G. Lieser. 1996. "GreenBelt Frankfurt," in Roger Keil, Gerda G. Wekerle, and David V. J. Bell, eds., *Local Places in the Age of the Global City*, Montreal: Black Rose Books, 211-222.
- Brady, Kathleen, 2004, "Gardens of Eden, Cities of Sin: America's Anti-Urban Bias and Its Effect on policy," unpublished final paper for the History and Theory of Planning course, Robert F. Wagner School of Public Service, New York: New York University, Spring.
- Prud'homme, Remy, 1986. "Does Paris Subsidize the Rest of France?" reprinted in Ewers, Hans-Jürgen, John B. Goddard, and Horst Matzerath, eds., *The Future of the Metropolis: Berlin, London, Paris, New York – Economic Aspects*, Berlin and New York: Van de Gruyter, 285-295.

Period 8 – 10 November:

City Beautiful: Shared Public Aesthetic or Culture Hegemony?

Session 1: “Robert Moses and the Planning of New York City”, video presentation and discussion.

Session 2: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Readings:

Wilson, William H., 1996. “The Glory, Destruction and Meaning of the City Beautiful Movement,” in Fainstein, Susan and Scott Campbell, eds., *Readings in Planning Theory*, Cambridge and Oxford: Blackwell Publishers, 68–102.

Ellin, Nan, “Chapter 2: Urban Design Theory on the European Continent,” and “Chapter 3: Urban Design Theory – The Anglo-American Axis,” in *Postmodern Urbanism*, Cambridge MA: Blackwell Publishers, 9–103.

Lynch, Kevin, 1990, “City Design and City Appearance (1968),” in *City Sense and City Design: Writings and Projects of Kevin Lynch*, Cambridge MA: MIT Press, 465–497.

Lai, Richard Tseng-Yu, 1988. “Chapter 10: A Question of Taste,” in Lai, Richard Tseng-Yu, *Law in Urban Design and Planning*, New York: Van Nostrand, 279–319.

Period 9 – 17 November

Conservation, Rehabilitation or Redevelopment: Reclaiming the Inner City

Session 1: Student Debate on the motion “The gentrification of low-income neighborhoods is beneficial to society.”

Session 2: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Readings:

Porter, Michael E., 1996. “The Competitive Advantage of the Inner City,” in LeGates, Richard T. and Frederic Stout, eds., *The City Reader*, Second Edition, London and New York: Routledge, 278–291.

Davis, Mike, 1992. “Fortress Los Angeles: The Militarization of Urban Space,” in Sorkin, Michael, ed., *Variations on a Theme Park: The New American City and the End of Public Space*, New York: The Noonday Press, 154–180.

Smith, Neil, 1996. “Chapter 2: Is Gentrification a Dirty Word?” in Smith, Neil, *The New Urban Frontier: Gentrification and the Revanchist City*, London and New York: Routledge, 30–47.

Freeman, Lance and Frank Braconi, 2002. “Gentrification and Displacement in New York City,” unpublished paper, Graduate School of Architecture, Planning and Conservation, Columbia University.

Period 10 – 24 November

Zoning and Other Limits on Private Property Regimes

Session 1: Urban planning and private property – Lecture by instructor.

Session 2: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Readings:

Rose, Carol M., 1994. "Chapter 1: Possession as the Origin of Property," in Rose, Carol M., *Property and Persuasion: Essays on the History, Theory, and Rhetoric of Private Ownership*, Boulder, CO: Westview Press, 11-23.

Levy, John M., 1998. "The Legal Basis of Planning," in Levy, John M., *Contemporary Urban Planning*, Third Edition, Englewood Cliffs NJ: Prentice Hall, 62-76.

Boyer, M. Christine, 1983. "Chapter 7: Zoning and the Single-Family Home," in Boyer, M. Christine, *Dreaming the Rational City: The Myth of American City Planning*, Cambridge MA: MIT Press, 139-170.

Platt, Rutherford H. 1991. "Chapter 3: English Roots of Modern Land Use Controls," in Platt, Rutherford H., *Land Use Control: Geography, Law and Public Policy*, Englewood Cliffs, NJ: Prentice Hall, 39-64.

Period 11 – 1 December

Economic Development and the City

Session 1: The Welfare Economics of Land Use Planning – Guest lecture by Professor Steve Sheppard, Department of Economics, Williams College.

Session 2: Introduction to the readings by instructor, student presentation of synopses and discussion of the readings.

Readings:

Altshuler, Alan A. and José A. Gómez-Ibañez, 1993. "Chapter 6: Does Development Pay its Own Way?" in Altshuler, Alan A. and José A. Gómez-Ibañez, *Regulation for Revenue: The Political Economy of Land Use Exactions*, Washington DC: The Brookings Institution, 77-96.

Frieden, Bernard J. and Lynn B. Sagalyn, 1989. "Chapter 6: Entrepreneurial Cities and Maverick Developers," in Frieden, Bernard J. and Lynn B. Sagalyn, *Downtown Inc.: How America Rebuilds Cities*, Cambridge MA: MIT Press, 107-131.

Friedman, John, 2002, "Chapter 2: City Marketing and Quasi City-States—Two Models of Urban Development," in *The Prospect of Cities*, Minneapolis: University of Minnesota Press, 19-38.

South African Cities Network, 2004, "Chapter 1: Findings" and "Chapter 4: Productive Cities," in *State of the Cities Report – 2004*, Johannesburg: South African Cities Network, 7-19 and 46-73.

Period 12 – 8 December

Urban Sprawl and the New Urbanism

Session 1: City Densities and Smart Growth - Guest lecture by Alain Bertaud, Senior Urban Advisor, the World Bank.

Session 2: Concluding session - summing up the course, concluding remarks, and course evaluation.

Readings:

Duany, Andres, Elisabeth Plater-Zyberk and Jeff Speck, 2000. "Chapter 1: What is Sprawl, and Why?" in Duany, Andres, Elisabeth Plater-Zyberk and Jeff Speck, *Suburban Nation: The Rise of Sprawl And the Decline of the American Dream*, New York: North Point Press, 3-20.

Gordon, Peter and Harry W. Richardson, 1997. "Are Compact Cities a Desirable Planning Goal?" in *Journal of the American Planning Association*, Vol. 63, No. 1, Winter, 95-105.

Betraud, Alain, 2003. "Clearing the Air in Atlanta: Transit and Smart Growth or Conventional Economics?" *Journal of Urban Economics*, (forthcoming).

Norquist, John O., 1998. "Chapter 10: The New Urbanism," in Norquist, John O., *The Wealth of Cities: Revitalizing the Centers of American Life*, Reading MA: Addison-Wesley, 181-199.