

Princeton University
Woodrow Wilson School
Graduate Program

Fall Term - 2003

WWS 533
Planning Theory and Process

Professor Julian Wolpert

Class: Monday, 1:00 - 4:10PM
Yeager
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OBJECTIVES

Planning emphasizes choosing from alternative ways to bring about improved well-being and quality of life through public interventions in land use and the built environment. Lectures and readings in the initial part of the course review and evaluate theories and concepts of: what is planning; why is it necessary; what are the goals of planning; who has the right and legitimacy to plan; what is the niche for comprehensive and strategic planning, and how can planning enhance locational efficiency, social equity, and distributive justice.

The second half of the course includes lectures, readings, and field visits that focus on planning process and practice. We examine how plans originate and are negotiated through market, bureaucratic, and political filters at municipal and regional levels. We also analyze some of the emerging issues in planning practice such as: agendas for neighborhood development; private- public partnerships, sustainable cities, new urbanism, smart growth; community participation in planning activities; and comparative planning experience in other First World nations and Third World countries.

FORMAT

Class sessions will involve lectures as well as student discussion of readings and projects. Some panel discussions will take place with guest speakers selected for their expertise in plan-making and implementation. Selected field visits will be made to planning agencies.

COURSE REQUIREMENTS

Students are expected to prepare for class sessions through careful reading of the items on the reading list. The midterm and final examinations will focus on interpretation and critical evaluation of the readings and lecture material.

Students will also undertake limited projects (after consultation with the instructor) relating to their specific interests in planning application and practice. The project reports should have a basis in planning concepts and demonstrate the planner's role in the problem solving efforts.

EVALUATION

The final evaluation will be based on:

Term projects	30%
Midterm examination	30%
Final examination	30%
Class participation	10%

OUTLINE FOR FALL 2003

1. Introduction - The Foundations of Planning Theory and Practice
2. Planning Theory I: Planning Concepts
3. Planning Theory II: Rational/Comprehensive Planning
4. Planning Theory in Practice I: Politics in Public Sector Planning
5. Planning Theory III: Advocacy, Participation, and Structural Approaches
6. Planning Theory in Practice II: Meshing Public and Private Sector Planning Imperatives
7. Planning Theory in Practice III: Planning for New Urban and Suburban Forms
8. Planning Theory and Process IV: State and Regional Planning
9. Planning Theory and Process V: Planning in First and Third World Nations
10. Planning Ethics, Pluralism and Gender Issues
11. Futurism: Transforming the Built Environment
12. Guest Lectures and Field Visits - to be scheduled

READING ASSIGNMENTS

Texts - on reserve in the WWS Library and available for purchase at the U Store

[L&S] LeGates, Richard T. and Frederic Stout (eds.) 2000 The City Reader, New York: Routledge – second edition

[C&F] Campbell, Scott and Susan Fainstein (eds.) 2003. Readings in Planning Theory. Second Edition. Cambridge:Blackwell Publishers.

Journals -

JAPA = Journal of the American Planning Association

JPER = Journal of Planning Education and Research

1. Introduction - The Foundations of Planning Theory and Practice

Legates, RT & F.Stout. 2000. “Modernism and Early Urban Planning” in L&S, pp. 295-313
Then skim pp 314-356

C&F Intro. pp. 1-16.

Recommended readings:

C&F Part I, pp. 19- 80.

Neuman, Michael. 1998. “Planning, Governing, and the Image of the City,” JPER 18, pp. 61-71

2. **Planning Theory I: Concepts**

C&F, pp. 83-101, 142-165.

Forester, John. 2000 "Planning in the Face of Power" in L&S, pp 410-422.

Garvin, Alexander 2000 "A Realistic Approach to City and Suburban Planning" in L&S, pp 396-409

Recommended readings: retrospective analysis

Lim, Gill C. 1986. "Toward a Synthesis of Contemporary Planning Theories." JPER 5(2), pp. 75-85.

3. **Planning Theory II: Rational/Comprehensive Planning.**

C&F pp. 169-236.

Ozawa, Connie P. And Ethan P. Seltzer. 1999. "Taking our Bearings: Mapping a Relationship among Planning Practice, Theory and Education," JAPA 18, pp. 257-266.

Kelly, Eric .D. and Becker, Barbara. 2000. Community Planning: An Introduction to the Comprehensive Plan. Washington, DC: Island Press. [scan entire book]

Recommended Readings:

Forester, John. 1989. Planning in the Face of Power. Chaps. 4-6, 9.

Boyer, M. Christine. 183. "The Rise of the Planning Mentality," In Dreaming the Rational City: The Myth of American City Planning, pp. 59-82.

4. **Planning Theory in Practice I: Politics in Public Sector Planning**

C&F, pp. 318-329.

Mollenkopf, John 2000. "How to Study Urban Political Power" in L&S, pp. 219-228

Benveniste, Guy. 1989. Mastering the Politics of Planning. Chaps. 6, 7, 9

Recommended readings:

Jacobs, Allan B. 1978. Making City Planning Work, Chaps. 1-3, 6, 10, 12

Schon, Donald A. 1982. "Some of What a Planner Knows." JAPA 48(3), pp. 351-364.

Altshuler, Alan. 1965. The City Planning Process, pp. 299-332, 354-391.

Baum, Howell S. 1983. "Politics in Planners' Practice." in Strategic Perspectives on Planning Practice, Ed. B. Checkoway, pp. 25-42

Needleman, M. and C. Needleman. 1974. Guerrillas in the Bureaucracy

5. Planning Theory III: Advocacy, Participation, and the Structuralist Approach

Arnstein, Sherry. 2000 "A Ladder of Citizen Participation" in L&S pp.240-52

C&F pp. 102-107, 108-124, 224-237.

Harvey, David 2000. "Social Justice, Postmodernism, and the City" in L&S pp.199-207

Briggs, Xavier de Souza. 1998. "Doing Democracy Up-Close: Culture, Power, and Communication in Community Building," JPER, 18, pp. 1-13.

Davidoff, Paul. 2000. "Advocacy and Pluralism in Planning" in L&S pp. 423-433.

Lewis, Sylvia. 1993. "Tough Love Works in Newark," Planning (Oct) pp. 24-29.

Recommended reading:

Innes, Judith E. And David E. Booher. 1999. "Consensus Building as Role Playing and Bricolage," JAPA 65, No.1, pp. 9-26.

"Paul Davidoff and Advocacy Planning in Retrospect," Essays by Checkoway, Marris, etc. JAPA, Spring 1994, pp.139-161.

Kraushaar, Robert. 1988. "Outside the Whale: Progressive Planning and the Dilemmas of Radical Reform." JAPA 54(1), pp. 91-100.

Gans, Herbert J. 1991. "Planning, Social Planning and Politics." In People, Plans and Policies, pp. 159-168.

6. Planning Theory in Practice II: Meshing Public and Private Sector Planning Imperatives

C&F, pp. 259-274.

Porter, Michael. 2000. "The Competitive Advantage of the Inner City" in L&S, pp. 278-294.

From Bryson, John M. and Robert C. Einsweiler. 1988. Strategic Planning: Threats and Opportunities for Planners:

Bryson, John M. and Robert C. Einsweiler. "Introduction," pp. 1-14

Bryson, John M. and William Roering. "Applying Private Sector Strategic Planning in the Public Sector," pp. 15-34

King, Joseph C. and David A. Johnson. "Oak Ridge, Tennessee: Strategic Planning for a Strategic City," pp. 160-171

Bryson, John M. and Robert C. Einsweiler, "The Future of Strategic Planning for Public Purposes," pp. 216-230

Recommended readings:

Mayer, Margit. 2000. "Post-Fordist City Politics" in C&L, pp. 229-239.

Swanstrom, Todd. 1987. "The Limits of Strategic Planning." Journal of Urban Affairs 9(2):139-157

Frieden, Bernard J. 1990. "Center City Transformed: Planners as Developers," JAPA

(Autumn), pp. 423-428.

From Unequal Partnerships. 1989. Ed. Gregory Squires:

Levine, Marc V. "The Politics of Partnership: Urban Redevelopment Since 1945," pp. 12-34

Sbragia, Alberta. "The Pittsburgh Model of Economic Development: Partnership, Responsiveness, and Indifference," pp. 103-120

Keating, Dennis, Norman Krumholz, and John Metzger. "Cleveland: Post-Populist Public-Private Partnerships," pp. 121-141

Lucy, William. 1988. Close to Power: Setting Priorities with Elected Officials. Chaps. 2-3, pp. 15-84.

Benveniste, Guy. 1972. The Politics of Expertise, Chaps. 4, 6, 10

Hall, Peter. 1988. "The City of Enterprise." in Cities of Tomorrow, pp. 342-360

7. Planning Theory in Practice III: Planning for New Urban and Suburban Forms

Brookings Review, Fall 1998, see "feature articles" -- on net at brookings.edu

Fishman, Robert. 1987. "The Post-War American Suburb: A New Form, A New City." In Two Centuries of American City Planning. Ed. Daniel V. Schaffer, pp. 265-278

Duany, Andres, and Elizabeth Plater-Zyberk. 1992. "The Second Coming of the American Small Town." Wilson Quarterly (Winter): 19-50

Recommended reading:

Rybczynski, Witold. 2000. "The New Downtown" in L&S pp170-179

Soja, Edward 2000 "Taking Los Angeles Apart" in L&S pp.180-192.

Jacobs, Allan and Donald Appleyard. 2000. "Toward an Urban Design Manifesto" in L&S pp 491-502.

Van Der Ryn, Sym and Stuart Cowan. 2000. "An Introduction to Ecological Design" in L&S pp. 519-527.

Webber, Mel. 2000. "The Post-City Age" in L&S pp 531-539

Frieden, Bernard J. Sagalyn, Lynne B. 1991. Downtown, Inc. How America Rebuilds Cities. Cambridge: MIT Press.

Kay, Jane Holtz. 1994. "Hell on Wheels," Planning (Jan), pp.7-14

Bookout, Lloyd W. 1992. "Neo-traditional Town Planning." Five-part series in Urban Land (Jan., Feb., April, June, Aug.)

Worldwatch Institute. 1991. "Shaping Cities: The Environmental and Human Dimensions." Paper No. 105

8. Planning Theory and Process IV: State and Regional Planning

Calthorpe, Peter and Fulton, William 2001. The Regional City. Washington, DC: Island Press [scan entire book]

Yaro, Robert D. 2000 "Growing and Growing Smart: A Case Study of the NY Region," in Bruce Katz, Ed. Reflections on Regionalism. Brookings, Washington, DC

Rusk, David 2000 "Growth management: The Core Regional Issue" in Bruce Katz, Ed. Reflections on Regionalism. Brookings, Washington, DC

Weir, Margaret 2000 "Coalition Building for Regionalism" in Bruce Katz, Ed. Reflections on Regionalism. Brookings, Washington, DC

Weitz, Jerry and Terry Moore. 1998. "Development inside Urban Growth Boundaries: Oregon's Empirical Evidence of Contiguous Urban Form," JAPA. 64:4, pp. 424-440.

State of New Jersey. 1991. Communities of Place: The Interim State Development and Redevelopment Plan for the State of New Jersey (skim)

Recommended readings:

ICMA, 1987. The Practice of State and Regional Planning, Chaps. 3, pp. 63-92, and chap. 6, pp. 133-165

Hall, Peter. 1988. "The City in the Region." In Cities of Tomorrow. Chapter 5, pp. 136-173

Boyer, M. Christine. 1983. "Traversing a Regional Domain" In Dreaming the Rational City: The Myth of American City Planning, pp. 171-199

9. Planning Theory and Process V: Planning in First and Third World Countries

Leaf, Michael. 1998. "Urban Planning and Urban Reality under Chinese Economic Reforms." JPER, 18, pp. 145-153.

Hall, Peter. 1993. "Forces Shaping Urban Europe," Urban Studies, 30(6), pp.883-898.

Healey, Patsy and Richard Williams, 1993. "European Urban Planning Systems: Diversity and Convergence," Urban Studies, Vol. 30, pp701-20

Gugler, Josef 9 (ed.) 1997. Cities in the Developing World. Oxford University Press pp. xii - 39, 261-304, skim other sections.

Bartone, Carl. 1991. "Environmental Challenge in Third World Cities." JAPA 57(4): 411-415

"Symposium on Shelter Policy and Planning in Developing Countries," JAPA 53(2), pp. 171-226

Recommended readings:

Potter, Robert B. and Ademola T. Salau (eds.). 1990. Cities & Development in the Third World. Chapters 1, 12 (pp. 1-11, 193-197) plus any two chapters (case studies)

Castells, Manuel 2000. "European Cities, the Informational Society, and the Global Economy" in L&S pp 557-567.

Violich, Francis. 1987. Urban Planning for Latin America, Chaps. 4, 9

Tolley, George S. and Vinod Thomas. 1987. The Economics of Urbanization and Urban Policies in Developing Countries. Chaps. 1, 5, 14, 15

10. Planning Ethics, Pluralism and Gender Issues

C&F, pp. 333-335, 356-375, 376-400, 411-417, 435-458.

Jackson, Kenneth T. 2000 "Gentleman's Agreement : Discrimination in Metropolitan America" in Bruce Katz, Ed. Reflections on Regionalism. Brookings, Washington, DC

Sandercock, Leonie and Ann Forsyth 2000. "A Gender Agenda" in L&S pp. 446-459.

Recommended readings:

Haughton, Graham. 1999 "Environmental Justice and the Sustainable City." JPER18, pp. 233-243.

Hayden, Dolores. 2000. "What Would a Non-sexist City be Like?" in L&S, pp 503-518.

Baum, Howell S. 1998."Ethical Behavior is Extraordinary Behavior: A Case Study in Community Planning," JAPA, No.4, pp. 411-423.

American Institute of Certified Planners (AICP). October 1991. "Code of Ethics"

Wachs, Martin (ed.). 1985. Ethics in Planning, Chaps. 1, 2, 10, 12, 13

Kain, John F. 1990. "Deception in Dallas: Strategic Misrepresentation in Rail Transit Promotion and Evaluation," JAPA, pp. 184-191

"Four Perspectives on Ethics." 1989. JAPA 55(4), pp. 474-484

11. Futurism: Transforming the Built Environment

Wheeler, Stephen. 2000. "Planning Sustainable and Livable Cities." In L&S pp.434-445.

Downs, Anthony 2000. "The Need for a New Vision for the Development of Large U.S. Metropolitan Areas" in L&S, pp. 545-556.

Clark, David 2000. "The Future Urban World" in L&S pp. 579-589.